



Department of Defense **INSTRUCTION**

NUMBER O-3300.04

May 25, 2012

USD(I)

SUBJECT: Defense Biometric Enabled Intelligence (BEI) and Forensic Enabled Intelligence (FEI)

References: See Enclosure 1

1. PURPOSE. This Instruction:

a. Establishes DoD policy and assigns responsibilities for management and execution of BEI and FEI and support to DoD identity intelligence (I²) activities in accordance with the authority in DoD Directive (DoDD) 5143.01 (Reference (a)), DoDD 8521.01E (Reference (b)), and DoDD 5205.15E (Reference (c)).

b. Establishes the DoD Identity Intelligence Steering Committee (DI²SC) as the primary advisory body to the Under Secretary of Defense for Intelligence (USD(I)) on BEI, FEI, and DoD I²-related issues.

c. Establishes a project office within the Defense Intelligence Agency (DIA) to coordinate and synchronize BEI and FEI activities.

2. APPLICABILITY. This Instruction applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (hereinafter referred to collectively as the "DoD Components").

3. DEFINITIONS. See Glossary.

4. POLICY. It is DoD policy that:

a. (FOUO) BEI and FEI are strategically important identity discovery and identity resolution intelligence processes used to unambiguously identify persons, networks, and populations of interest who pose potential threats to U.S. forces and national security.

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b. BEI and FEI shall be fully integrated into Defense Intelligence and the Defense Intelligence Component activities as an essential element of national security and in support of the full range of military operations consistent with national, defense, and operational priorities. BEI and FEI capabilities shall:

(1) Fuse BEI and FEI with associated contextual data and other available intelligence (e.g., document and media exploitation, signals intelligence (SIGINT), human intelligence (HUMINT)) to support irregular warfare (IW) in accordance with DoDD 3000.07 (Reference (d)), and counter human network operations that include counterterrorism, counterinsurgency, counterproliferation, counternarcotics, counterpiracy, and countersmuggling.

(2) Require coordination at all levels of operations, tactical to strategic, and between intelligence and criminal justice entities, to ensure the requisite depth of knowledge of current threats, while protecting intelligence sources and methods and criminal case integrity.

c. BEI and FEI policy, program, and technology development processes, and information management efforts shall be fully integrated with the DoD Biometric and Forensic Enterprises consistent with law and DoD policy and shall:

(1) Pursuant to References (b) and (c) control DoD biometric and forensic material and data collection, transmission, storage, caching, tagging, and use through DoD-approved national, international, and other consensus-based standards, protocols, best practices, and equipment to ensure consistency and support interoperability.

(2) Develop interoperability with other identity and intelligence information management capabilities and systems, both internal and external to the Department of Defense, to maximize effectiveness.

(3) Use BEI and FEI concepts and capabilities across all DoD activities including doctrine, organization, training, materiel, leadership and education, personnel, and facilities; policy; exercises; experiments; and applicable plans.

d. (FOUO) Effective BEI and FEI is an integral part of the DoD Biometric and Forensic Enterprises. In addition to the traditional intelligence cycle functions, BEI and FEI shall:

(1) Collect, digitize, and transmit biometric data at the tactical, operational, and strategic levels.

(2) Leverage non-intelligence (i.e., common and digital multimedia) forensics material, data, and processes for intelligence purposes consistent with Reference (c).

e. Collection, retention, and dissemination of U.S. person information shall be in accordance with Executive Order 12333 (Reference (e)), DoD 5240.1-R (Reference (f)), DoDD 5400.11 (Reference (g)), and DoD 5400.11-R (Reference (h)).

f. Nothing in this Instruction shall supersede the roles and responsibilities of the Heads of the DoD Components to exercise their authorities pursuant to References (b), (c), and DoDD 5105.21 (Reference (i)).

5. RESPONSIBILITIES. See Enclosure 2.

6. RELEASABILITY. RESTRICTED. This Instruction is approved for restricted release. It is available to users with Common Access Card authorization on the Internet from the DoD Issuances Website at <http://www.dtic.mil/whs/directives>.

7. EFFECTIVE DATE

a. This Instruction is effective May 25, 2012.

b. This Instruction must be reissued, cancelled, or certified current within 5 years of its publication in accordance with DoD Instruction 5025.01 (Reference (j)). If not, this Instruction will expire effective May 25, 2022 and be removed from the DoD Issuances Website.



Michael G. Vickers
Under Secretary of Defense for Intelligence

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ENCLOSURE 1

REFERENCES

- (a) DoD Directive 5143.01, "Under Secretary of Defense for Intelligence USD(I)," November 23, 2005
- (b) DoD Directive 8521.01E, "Department of Defense Biometrics," February 21, 2008
- (c) DoD Directive 5205.15E, "DoD Forensic Enterprise (DFE)," April 26, 2011
- (d) DoD Directive 3000.07, "Irregular Warfare (IW)," December 1, 2008
- (e) Executive Order 12333, "United States Intelligence Activities," July 30, 2008, as amended
- (f) DoD 5240.1-R, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons," December 12, 1982
- (g) DoD Directive 5400.11, "DoD Privacy Program," May 8, 2007
- (h) DoD 5400.11-R, "Department of Defense Privacy Program," May 14, 2007
- (i) DoD Directive 5105.21, "Defense Intelligence Agency (DIA)," March 18, 2008
- (j) DoD Instruction 5025.01, "DoD Directives Program," October 28, 2007
- (k) DoD Directive 5530.3, "International Agreements," June 11, 1987
- (l) National Security Presidential Directive 59/Homeland Security Presidential Directive 24, "Biometrics for Identification and Screening to Enhance National Security," June 5, 2008
- (m) Memorandum of Agreement between the DoD and the DHS on Information Sharing and Technology Partnering Relating to ID Verification and Screening Activities, March 3, 2011
- (n) Memorandum of Agreement between the DoD and FBI for Sharing of Biometric and Other Identity Management Information, September 10, 2009
- (o) DoD Instruction 5000.02, "Operation of the Defense Acquisition System," December 8, 2008
- (p) DoD Directive 4630.05, "Interoperability and Supportability of Information Technology (IT) and National Security Systems (NSS)," May 5, 2004
- (q) Intelligence Community Directive Number 501, "Discovery and Dissemination or Retrieval of Information within the Intelligence Community," January 21, 2009
- (r) Joint Publication 1-02, "Department of Defense Dictionary of Military and Associated Terms," current edition

ENCLOSURE 2

RESPONSIBILITIES

1. USD(I). The USD(I) shall:

- a. Oversee and provide guidance on DoD BEI and FEI programs, activities, and initiatives.
- b. Establish priorities and serve as the resource advocate for DoD BEI and FEI programs to ensure compliance with Secretary of Defense and Director of National Intelligence (DNI) policy guidance and Joint Requirements Oversight Council (JROC) validated intelligence requirements.
- c. Oversee, provide guidance, and direct the development and sustainment of BEI and FEI resources, capabilities, and capacity to meet validated requirements, provide support to IW, and counter human network operations.
- d. Coordinate with the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)) on intelligence programs, activities, and agreements that may affect biometric and forensic-related authorities and missions.
- e. Direct development, guide implementation, and assess standards of BEI and FEI capabilities and capacity for persistent intelligence, surveillance, and reconnaissance (ISR) of the human terrain (see Glossary for definitions).
- f. Convene and chair the DI²SC as outlined in Enclosure 3.
- g. Approve and disseminate the BEI and FEI security classification guidance for data collected by DoD Components and the collection, transmission, storage, processing, caching, tagging, and sharing of classified biometric and forensic data.
- h. Ensure BEI and FEI are accessible pursuant to References (b) and (c), and in consultation with the DoD Chief Information Officer (DoD CIO), through an intelligence sharing environment to tactical and operational users as well as the U.S. Government (USG)-wide intelligence, counterintelligence (CI), foreign intelligence, and security communities, consistent with law and DoD policy.
- i. Serve as the primary DoD point of contact with other USG agencies and international entities on all departmental I² related activities unless otherwise specified in this Instruction, law, and DoD policy.
- j. Assign an official in the office of the USD(I) to assist in the oversight and implementation of DoD BEI, FEI, and I² programs.
- k. Review and approve Director, DIA developed BEI and FEI strategies consistent with References (b) and (c), JROC validated intelligence requirements, and DNI strategic guidance.

2. DIRECTOR, DIA. The Director, DIA, under the authority, direction, and control of the USD(I) and in addition to the responsibilities in section 7 of this enclosure, shall:

a. Serve as the principal advisor to the USD(I) on biometrics and forensics activities affecting the intelligence community.

b. (FOUO) Develop, in coordination with the Secretaries of the Military Departments, the Geographic Combatant Commanders (GCCs), and Commander, United States Special Operations Command (USSOCOM) for USD(I) approval, a Defense Intelligence BEI strategy and a separate Defense Intelligence FEI strategy to support the institutionalization and operational use of BEI and FEI, and corresponding analytical processes and capabilities to unambiguously identify foreign persons, networks, and populations of interest who pose potential threats to U.S. forces and national security.

(1) The BEI strategy shall address BEI collection plan activities which complement and support the broader Intelligence Community (IC) collection strategy, identify BEI analytical gaps, and develop mitigation plans.

(2) The FEI strategy shall address an FEI collection plan which complements and supports the broader IC collection strategy.

c. Establish, resource, and maintain a project office to coordinate and synchronize BEI and FEI activities as outlined in Enclosure 4.

d. Coordinate with the DoD Executive Agents (EAs) for DoD Biometrics, Forensics, and Digital and Multimedia (D/MM) Forensics on intelligence activities and programs that may impact biometric and forensic authorities and missions.

e. As the General Defense Intelligence Program Manager consistent with Reference (i), prioritize DoD BEI and FEI capabilities that support national intelligence program components, missions, and requirements, and submit program proposals in accordance with those priorities through the Intelligence Planning, Programming, Budgeting, and Evaluation process.

f. As the DIA Military Intelligence Program Component Manager consistent with Reference (i), prioritize DIA BEI and FEI capabilities that support military operations, unique DoD missions and requirements, and submit program proposals in accordance with those priorities through the planning, programming, budgeting, and execution process.

g. Support the development and sustainment of a BEI and FEI analytical capability at the Geographic Combatant Commands and USSOCOM.

h. Enter into or incorporate into existing military and military-related intelligence agreements with foreign governments and other entities to share biometric and forensic data and related intelligence in coordination with the USD(AT&L) and the Under Secretary of Defense

for Policy (USD(P)) pursuant to References (b) and (c) and in accordance with Reference (i) and DoDD 5530.3 (Reference (k)). Additionally:

(1) Conduct and periodically update and review existing military and military-related intelligence agreements to identify BEI and FEI gaps and develop mitigation strategies.

(2) Coordinate with the USD(AT&L), the USD(P), the GCCs, Commander, USSOCOM, and representatives of foreign partner nations, as required, to amend and modify existing military and military-related intelligence agreements to include the matching and sharing of biometric and forensic data and related intelligence.

(3) Maximize third party collections and collaboration in coordination with the GCCs, Commander, USSOCOM, and representatives of foreign partner nations using existing agreements where possible.

i. Develop and provide management, supervision, procedures; support, and requirements for a DoD Biometric Enabled Watchlist (BEWL) capability pursuant to Reference (b), in support of counter human threat network operations, IW, and other relevant intelligence-supported mission areas. The DoD BEWL shall support the implementation of National Security Presidential Directive-59/Homeland Security Presidential Directive-24 (Reference (l)) and, at a minimum, shall:

(1) Support multiple counter human threat network mission areas.

(2) Be scalable from the tactical to strategic levels.

(3) Support DoD-wide and national watchlists.

(4) Designate a DoD BEWL Manager. The BEWL Manager shall, at a minimum:

(a) Develop and maintain a DoD BEWL framework for implementation across the DoD.

(b) Develop and maintain procedures to nominate, de-nominate, validate, and share BEWL entries in accordance with national, DoD, GCC, and USSOCOM Commander requirements.

(c) Develop and maintain procedures for nominating all known or suspected terrorists (KST) to the National Counterterrorism Center in coordination with the Joint Intelligence Task Force – Combating Terrorism (JITF-CT).

(d) Reconcile and de-conflict BEWL records.

(e) Establish DoD encounter management procedures that support operators, screeners, and decision makers at the tactical, operational, and strategic levels.

(f) Coordinate encounter management between the GCCs and Commander, USSOCOM. For KST encounters, coordinate DoD encounter management with the JITF-CT.

(g) Provide BEWL training.

j. Make BEI and FEI accessible pursuant to References (b) and (c), and in collaboration with the USD(I), through an intelligence sharing environment, to tactical and operational users as well as the USG-wide intelligence, CI, and foreign partner intelligence and security communities as appropriate under existing policy and statutes.

k. In coordination with the Chairman of the Joint Chiefs of Staff:

(1) Develop joint BEI and FEI doctrine, including doctrine for the use of stand-off collection capabilities.

(2) Promote the integration of BEI and FEI doctrine within joint and Service-level exercises, experiments, and training.

(3) Identify and share BEI and FEI lessons learned with the DoD Components.

l. Consistent with Reference (c), program for and budget sufficient resources to provide interoperable expeditionary and quick response forensic capabilities to support intelligence requirements when existing forensic capability provided by the DoD EAs for Forensics and D/MM Forensics is insufficient to meet intelligence mission requirements. Coordinate with the DoD EAs for Forensics and D/MM Forensics to establish site exploitation, evaluation, and tactical processing standards for DoD operating forces.

m. Serve as the office of primary responsibility for DoD Biometrics, BEI, and FEI security classification.

(1) Develop, and update, as appropriate, and provide to the USD(I) for approval and dissemination a DoD Biometrics, BEI, and FEI Security Classification Guide (SCG).

(2) Resolve classification issues related to DoD Biometrics, BEI, and FEI consistent with the SCG.

n. Develop, maintain, and provide intelligence related training and professional certification activities in coordination with the Secretaries of the Military Departments that support the Biometric and Forensic Enterprises:

(1) For intelligence related biometric and forensic support to CI and HUMINT operations and operators, to include threat recognition, collections, source collections, and reporting.

(2) For I², to include BEI and FEI at the National Intelligence University.

(3) For BEI and FEI in all-source analyst training.

o. In coordination with the Secretaries of the Military Departments, the GCCs, and Commander, USSOCOM, identify intelligence and operational requirements that may be filled through the use of BEI and FEI.

p. Serve as the Vice Chair of the DI²SC as outlined in Enclosure 3.

3. USD(AT&L). The USD(AT&L) shall:

a. Serve as the principal advisor to the USD(I) on biometric and forensic activities.

b. Coordinate with the USD(I) on biometric and forensic programs, activities, and agreements.

c. Coordinate with the Director, DIA, the GCCs, Commander, USSOCOM, and the USD(P) pursuant to References (b) and (c) and in accordance with References (g), (i), and (k), and memorandums of agreement (References (m) and (n)) in the creation or modification of military and military-related intelligence agreements with foreign governments and other entities to facilitate the sharing of biometric and forensic data and related intelligence.

d. Designate a DI²SC member, who shall be a general or flag officer (G/FO) or Senior Executive Service (SES) equivalent, with responsibilities to coordinate on proposed BEI and FEI acquisition programs and budget submissions.

4. USD(P). The USD(P) shall:

a. Support DoD BEI, FEI, and I² with overall policy development and implementation of BEI, FEI, and I² matters, to include international BEI, FEI, and I² activities, homeland defense, stability operations, detainee policy, counter human network operations, critical infrastructure protection, force protection, antiterrorism, special operations, low intensity conflict, law enforcement missions, and other areas under the cognizance of the USD(P).

b. Coordinate with the Director, DIA, the GCCs, Commander, USSOCOM, and the USD(AT&L) pursuant to References (b) and (c) and in accordance with References (g), (i), (k), (m), and (n) on the creation or modification of military and military-related intelligence agreements with foreign governments and other entities to facilitate the sharing of biometric and forensic data and related intelligence.

c. Provide policy, advice, guidance, and oversight on matters of defense security cooperation.

d. Designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to coordinate on proposed BEI and FEI acquisition programs and budget submissions.

5. DoD CIO. The DoD CIO shall:

- a. Ensure BEI and FEI systems conform to the Global Information Grid architectural standards, including all information assurance and security requirements.
- b. Designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to coordinate on proposed BEI and FEI acquisition and information sharing programs and budget submissions.

6. DIRECTOR, COST ASSESSMENT AND PROGRAM EVALUATION (CAPE). The Director, CAPE shall designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to identify and coordinate programming for BEI and FEI requirements and negotiate and resolve issues.

7. HEADS OF THE DoD COMPONENTS. The Heads of the DoD Components shall:

- a. Coordinate all BEI strategies, concepts, and requirements with the DI²SC prior to acquisition program initiation consistent with Reference (b) and DoDI 5000.02 (Reference (o)) so all programs supporting BEI and FEI:
 - (1) Conform to an overall DoD biometrics and forensics intelligence architecture.
 - (2) Do not duplicate other programs.
 - (3) Are developed fully compliant with DoD Biometric Enterprise approved standards.
 - (4) Support the broader DoD Biometric and Forensic Enterprises.
- b. Plan, program, and budget for DoD Component required BEI and FEI capabilities to meet common, joint, or Component-specific BEI and FEI requirements.
- c. To the fullest extent permitted by law, DoD policy, and in accordance with Reference (l), make available to other USG agencies all biometric and associated contextual information and intelligence associated with persons for whom there is an articulated and reasonable basis for suspicion that they pose a threat to national security.
- d. Ensure DoD Component level BEI and FEI training, direction, and implementation guidance is developed and implemented, as required.

8. SECRETARIES OF THE MILITARY DEPARTMENTS. The Secretaries of the Military Departments, in addition to the responsibilities in section 7 of this enclosure, shall:

- a. Designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to identify and coordinate programming for BEI and FEI requirements and negotiate and resolve issues. One member each shall be designated from the U.S. Navy and U.S. Marine Corps.
- b. Plan, program, and budget for DoD biometric and forensic collection capability in all operating environments, in support of tactical, operational, and strategic intelligence requirements.
- c. In coordination with Director, DIA and consistent with References (b) and (c), plan, program, and budget for a DoD capability to process, exploit, and analyze biometric and forensic data and to disseminate BEI and FEI.
- d. Review plans, programs, and budgets for Military Department-specific and, where appropriate, joint and common BEI and FEI capabilities. Provide BEI and FEI planning, programming, budget submissions and expenditures to the USD(I) or designee, when requested.
- e. Comply with DoD policies, standards, processes, and procedures for collection, transmission, storage, archiving, caching, tagging, retrieval, classification, sharing, and interoperation of BEI and FEI capabilities.
- f. Incorporate I², BEI, and FEI into Service intelligence courses of instruction for intelligence professionals.
- g. Include I², BEI, and FEI in Service intelligence manuals and instructions as applicable.
- h. Identify Service intelligence and operational requirements which may be filled through the use of BEI and FEI to the Director, DIA.
- i. Coordinate with the Director, DIA, the GCCs, and Commander, USSOCOM in the development of the Defense Intelligence BEI and FEI strategies.
- j. In collaboration with the Director, DIA, the GCCs, and Commander, USSOCOM, deliver DoD capabilities to associate and fuse BEI and FEI with disparate operational and intelligence information allowing it to be shared horizontally and vertically.

9. SECRETARY OF THE ARMY. The Secretary of the Army, as the DoD EA for DoD Biometrics and DoD EA for Forensics as designated in References (b) and (c), in addition to the responsibilities in sections 7 and 8 of this enclosure and in coordination with the Director, DIA, shall:

- a. Formalize the acquisition and management of BEI analysis and production tool sets to address long-term DoD BEI needs.
- b. Identify biometric and forensic activities and programs that may impact intelligence-related authorities and missions.

10. SECRETARY OF THE AIR FORCE. The Secretary of the Air Force, as the DoD EA for D/MM Forensics as designated in Reference (c), in addition to the responsibilities in sections 7 and 8 of this enclosure, shall coordinate forensic activities and programs (except those forensic disciplines excluded by Reference (c)) that may impact intelligence related authorities and missions with the Director, DIA.

11. CHAIRMAN OF THE JOINT CHIEFS OF STAFF. The Chairman of the Joint Chiefs of Staff, in addition to the responsibilities in section 7 of this enclosure, shall:

a. Advocate and validate joint force BEI and FEI requirements and capabilities for the joint force.

b. Review and assess the adequacy of BEI and FEI acquisition programs and budgets to support joint objectives and operational plans, and integrate BEI and FEI into strategic and operational plans.

c. Represent the BEI and FEI program interests of the GCCs and Commander, USSOCOM.

d. Coordinate with the Director, DIA and the USD(I) to ensure BEI and FEI capabilities support the National Military Strategy and GCC and USSOCOM Commander requirements.

e. Evaluate BEI and FEI systems support for joint interoperability and joint warfighting capabilities consistent with DoDD 4630.05 (Reference (p)).

f. Coordinate with the Director, DIA to:

(1) Develop joint BEI and FEI doctrine, including doctrine for the use of stand-off collection capabilities.

(2) Promote the integration of BEI and FEI doctrine within joint and Service-level exercises, experiments, and training.

(3) Identify and share BEI and FEI lessons learned with the DoD Components.

g. Ensure joint education and annual training, exercises, concept development, and experimentation consider BEI and FEI.

h. Designate a DI²SC member, who shall be a G/FO or SES equivalent as outlined in Enclosure 3.

12. GCCs. The GCCs, in addition to the responsibilities in section 7 of this enclosure and through the Chairman of the Joint Chiefs of Staff as appropriate, shall:

- a. Make recommendations to the USD(I), the Director, DIA, and the USD(P) on BEI and FEI related policies, functional needs, and systems as required.
- b. Identify, document, validate, prioritize, and submit to the Joint Staff the resource requirements necessary to achieve BEI and FEI capability objectives for their command. Coordinate resource requirements with the Joint Staff and Service component commands.
- c. Coordinate with the Director, DIA, Commander, USSOCOM and the Secretaries of the Military Departments in the development of the Defense Intelligence BEI and FEI strategies.
- d. Coordinate with the Director, DIA, the Chairman of the Joint Chiefs of Staff, and the Secretaries of the Military Departments to develop and maintain a BEI and FEI analytical capability.
- e. Produce BEI and FEI to identify, locate, track, and target persons of interest who pose a potential threat to U.S. forces, national, or homeland security.
- f. Collaborate with the Director, DIA and the Secretaries of the Military Departments to associate and fuse BEI and FEI with disparate operational and intelligence information that may be shared horizontally and vertically.
- g. Develop and maintain a BEWL, including encounter management, that is scalable tactical-to-theater and able to support nominations to DoD and national watchlists.
- h. Incorporate BEI and FEI in the development of all theater concept and operational plans.
- i. Include BEI, FEI, and BEWL programs in theater security cooperation planning, as applicable.
- j. Identify to the Director, DIA, intelligence and operational requirements that require the use of BEI and FEI.
- k. Establish policy to prioritize the exploitation of forensic material based upon mission needs and corresponding procedures for forensic collection, processing, and transportation.
- l. Designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to identify command BEI and FEI requirements, coordinate programming for these requirements, and negotiate and resolve issues on behalf of their command.
- m. Conduct a review of all existing military and military-related agreements, to include those at the operational level, to identify BEI and FEI gaps and develop mitigation strategies. Coordinate with the Director, DIA, the respective principal staff assistants (PSAs), and the USD(P) on the creation or modification of military and military-related intelligence agreements with foreign governments.

13. COMMANDER, USSOCOM. The Commander, USSOCOM, in addition to the responsibilities in section 7 of this enclosure, shall:

a. Coordinate all USSOCOM BEI and FEI strategies, concepts, and requirements with the USD(I) and the Director, DIA through the DI²SC prior to acquisition program initiation so that all USSOCOM BEI and FEI programs:

- (1) Conform to an overall DoD BEI or FEI architecture.
- (2) Do not duplicate other programs.
- (3) Are developed fully compliant with DoD-approved standards.
- (4) Support the broader DoD Biometric Enterprise.

b. Plan, program, and budget for USSOCOM-specific BEI, FEI, and BEWL capabilities. Direct special operations forces components to plan, program, and budget for joint Service or common BEI and FEI capabilities. Provide BEI and FEI programming, budget planning submissions, and expenditures to the USD(I) or designee.

c. Designate a DI²SC member, who shall be a G/FO or SES equivalent, with responsibilities to identify and coordinate programming for BEI and FEI requirements and negotiate and resolve issues.

d. Coordinate with the Director, DIA, the GCCs, and the Secretaries of the Military Departments in the development of the Defense Intelligence BEI and FEI strategies.

ENCLOSURE 3

DI²SC

1. PURPOSE. The DI²SC serves as the Defense Intelligence focal point for the coordination of BEI and FEI acquisition programs, resources, and policy in support of the most operationally relevant and sustainable BEI and FEI capability across the Department of Defense.

2. MEMBERSHIP

a. Chairman. The USD(I) or designated G/FO or SES representative.

b. Vice-Chair. Director, DIA, or designated G/FO or SES representative.

c. Membership

(1) Army, Navy, Marine Corps, and Air Force G/FO or SES representatives.

(2) Joint Staff G/FO or SES representative.

(3) The USD(AT&L), as the Biometrics and Forensics PSA consistent with References (b) and (c), or a G/FO or SES representative.

(4) The DoD EA for Biometrics or a G/FO or SES representative.

(5) The DoD EA for D/MM Forensics and DoD EA for Forensics or a G/FO or SES representatives.

(6) Project office G/FO or SES representative.

(7) USD(P) G/FO or SES representative.

(8) Director, CAPE G/FO or SES representative.

(9) DoD CIO G/FO or SES representative.

(10) GCCs' G/FO or SES representative.

(11) USSOCOM G/FO or SES representative.

3. DUTIES. The DI²SC is the primary advisory board to the USD(I) on BEI and FEI and DoD watchlisting programs. The USD(I) will convene and chair the DI²SC to provide additional policy, guidance, and priorities to BEI and FEI programs. The DI²SC shall:

a. Assist the USD(I) to:

(1) Identify and assess the priority of BEI and FEI capabilities to support resourcing decisions to meet the requirements of the Defense Intelligence Components and Combatant Command missions.

(2) Develop or validate mission area integrated BEI and FEI architectures and operational concepts.

(3) Provide oversight, advice, and recommendations to preclude duplication of effort and resolve issues across DoD BEI and FEI programs.

(4) Ensure all DoD BEI and FEI collection, analysis, transmission, storage, caching, and tagging systems enable interoperability with DoD-approved national, international, and other consensus based standards and facilitate data and intelligence sharing in accordance with Reference (1) and Intelligence Community Directive Number 501 (Reference (q)).

(5) Review and assess annual program plans and resources to support Service, joint, and common BEI and FEI capability requirements. Ensure that the project office and DoD Component BEI and FEI decisions that create cost, schedule, or performance issues for other DoD Components or agencies are fully coordinated and resolved.

(6) Facilitate the integration of BEI and FEI into the Defense Biometric Enterprise through USD(I) representation on the Defense Biometrics Executive Committee.

b. Establish the DoD Identity Intelligence Working Group (I²WG) to support the DI²SC, chaired by the USD(I) Senior Biometric Intelligence Executive. The I²WG shall:

(1) Include, at a minimum, O-6 or GS-15 representation from the DoD Components, the project office, and the Service intelligence centers, as well as the DoD EA for DoD Biometrics and DoD EA for Forensics.

(2) Discuss and coordinate issues of common interest to the DoD BEI and FEI communities of interest. Make policy, program, and other recommendations to the DI²SC.

(3) Undertake other responsibilities as assigned by the DI²SC.

ENCLOSURE 4

MISSION, TASKS, AND FUNCTIONS OF THE PROJECT OFFICE

The project office shall:

a. Serve as the Defense Intelligence focal point and advocate for all matters relating to identity intelligence and BEI and FEI.

b. Track all DoD BEI and FEI programs, budget planning and submissions, and expenditures. Review DIA, Military Department, and Combatant Command BEI and FEI requirements, capabilities, and programs annually. Identify shortfalls, gaps, and recommend mitigation strategies to the Deputy Under Secretary of Defense for Intelligence and Security through the DI²SC. Provide recommendations and justification for DoD BEI and FEI planning, programming, and budget submissions.

c. Coordinate with the Biometrics and Forensics PSAs and the DoD EAs for DoD Biometrics, Forensics, and D/MM Forensics to ensure that the unique requirements of the Defense Intelligence Components are addressed in the execution of their duties and responsibilities.

d. Develop funding requirements for the IC's BEI and FEI capabilities. Coordinate with the Office of the USD(I), the Joint Staff, and the staffs of the Military Departments to identify collaborative funding strategies.

e. Provide subject matter expertise and collaborate with the Office of the USD(I), the Joint Staff, and the staffs of the GCCs, USSOCOM, and the Military Departments to validate BEI and FEI capabilities identified in joint urgent needs statements, coalition operational needs statements, operational needs statements, and those identified by the Joint Capabilities and Integration Development System.

f. Coordinate with the Office of the USD(I) to identify requirements for National Intelligence Program funding.

g. Provide representation to all BEI, FEI, and I² forums.

h. Coordinate with the staffs of the Military Departments, GCCs, and USSOCOM to facilitate and coordinate BEI and FEI capability development and force provision issues within the Department of Defense.

i. Coordinate with the DoD EA for DoD Biometrics on BEI and FEI programs and activities that may affect biometric authorities and missions to maximize interoperability and preclude duplication of effort. Ensure BEI and FEI activities are integrated across all DoD Components to ensure an end-to-end biometric enterprise in support of all relevant DoD mission areas and applications.

j. Develop standards, processes, and procedures in coordination with the Executive Manager for Biometrics as designated in Reference (b) and the DoD EAs for Forensics and D/MM Forensics for the storage, archiving, caching, and tagging of classified biometric enabled intelligence and forensic enabled intelligence data to include the requirement to geographically and time tag all collections to the maximum extent possible.

k. Coordinate and manage the development of appropriate analytical intelligence models, tools, and data to provide BEI and FEI support to DoD operating forces.

l. Develop a BEI lexicon, in coordination with appropriate DoD, IC, and Joint Staff elements and the DoD EA for DoD Biometrics.

m. Develop a FEI lexicon, in coordination with appropriate DoD and IC elements and the DoD EAs for Forensics and D/MM Forensics.

GLOSSARY

PART I. ABBREVIATIONS AND ACRONYMS

BEI	biometric enabled intelligence
BEWL	biometrically enabled watchlist
CAPE	Cost Assessment and Program Evaluation
CI	counterintelligence
CONOPS	concept of operations
DIA	Defense Intelligence Agency
DI ² SC	Defense Identity Intelligence Steering Committee
D/MM	digital and multimedia
DNI	Director of National Intelligence
DoD CIO	Department of Defense Chief Information Officer
DoDD	Department of Defense Directive
DoDI	Department of Defense Instruction
EA	Executive Agent
FEI	forensic enabled intelligence
GCC	Geographic Combatant Commander
G/FO	general or flag officer
HUMINT	human intelligence
I ²	identity intelligence
I ² WG	Identity Intelligence Working Group
IC	Intelligence Community
ISR	intelligence, surveillance, and reconnaissance
IW	irregular warfare
JITF-CT	Joint Intelligence Task Force – Combating Terrorism
JROC	Joint Requirements Oversight Council
KST	known or suspected terrorist
ODNI	Office of the Director of National Intelligence
PSA	Principal Staff Assistant
SCG	Security Classification Guide
SES	Senior Executive Service

SIGINT	signals intelligence
USD(AT&L)	Under Secretary of Defense for Acquisition, Technology, and Logistics
USD(I)	Under Secretary of Defense for Intelligence
USD(P)	Under Secretary of Defense for Policy
USG	United States Government
USSOCOM	United States Special Operations Command

PART II. DEFINITIONS

Unless otherwise stated, these terms and their definitions are for the purposes of this Instruction.

BEI.

as a subject. The intelligence resulting from the collection, processing, analysis, and interpretation of biometric signatures and the contextual data associated with those signatures, and other available intelligence, that answers a commander's or decision maker's information needs concerning persons, networks, or populations of interest.

as a process. A process that relies on all-source collections and a distinct processing, exploitation, analysis, reporting, and dissemination enterprise for the purpose of predicting, monitoring, and neutralizing the influence and operational capacity of individuals, networks, and populations of interests.

BEWL. Any list of persons of interest, with individuals identified by biometric sample instead of by name, and the recommended action to be taken upon encountering each individual. Collection, retention, and dissemination of U.S. person information are in accordance with References (e), (f), and (h).

biometric. Defined in Joint Publication 1-02 (Reference (r)).

biometrics. Defined in Reference (r).

biometric enterprise. An entity comprised of the Department of Defense joint, Service, and agency organizations working together to integrate biometrics into the identity transactions needed to support military operations and departmental business functions.

counter human network operations. Operations that include counterterrorism, counterinsurgency, counterproliferation, counternarcotics, counter-piracy, and counter-smuggling.

defense intelligence. Defined in Reference (a).

defense intelligence components. Defined in Reference (a).

encounter. An interaction with a person of interest.

encounter management. The functions performed and procedures undertaken to support real-time decision making at the point of encounter.

FEI.

as a subject. The intelligence resulting from the collection, processing, analysis, and interpretation of forensic materials and data, and the contextual data associated therewith, and other available intelligence, which answers a commander's or decision maker's information needs concerning events, locations, ideology, persons, networks, or populations of interest.

as a process. A distinct intelligence process resulting from the scientific analysis, characterization, and exploitation of materials, samples, devices, and signatures that relies on technical collection methods and a distinct processing, reporting, dissemination, and integration with all source intelligence for the purpose of predicting, monitoring, and neutralizing the influence and operational capacity of individuals, networks, and populations of interests.

forensic science (often shortened to forensics). The application of multidisciplinary scientific processes to establish facts.

human terrain. The detailed knowledge of cultural, political, and social factors needed to provide the commander or decision maker with the socio-cultural understanding needed to achieve the desired outcomes across the spectrum of conflict.

I². The Office of the Director of National Intelligence (ODNI) defines I² as the collection, analysis, protection, exploitation and management of identity attributes and associated technologies and processes in order to locate, track, and maintain continuity on unattributed identities across multiple or disparate instances or incidents, or across space and time. DoD BEI and FEI are elements of I²; all DoD BEI supports I² identity discovery, but not all DoD FEI is I². FEI that does not identify an individual is not I².

identity discovery. The ODNI defines identity discovery as the actions taken to reveal the identity attributes of an individual, person, persona, system, or group in order to distinguish between foreign persons-of-interest, terrorists, and criminals, who pose potential threats to the United States.

identity management. The combination of technical systems, policies, and processes that create, define, govern, and synchronize the ownership, utilization, and safeguarding of identity information." ODNI defines identity management the implementation and institutionalization of processes to enable diverse communities to seamlessly access and exploit discovered identities to create, define stewardship of, safeguard, and employ the real and fictitious identity attributes of individuals, person or systems, and discrete groups thereof.

identity resolution. The use of BEI, FEI, and other analytical capabilities to discern the true identity of an individual and categorize them as friendly, neutral, or hostile.

non-traditional ISR. The use of sensor systems not normally used for ISR, in a manner that contributes to battlespace awareness and enhances the joint force ability to conduct decisive operations.

persistent ISR. ISR resources with sufficient coverage area, dwell, revisit, responsiveness, and quality to predict an adversary's behavior and facilitate the formulation and execution of preemptive activities to deter or forestall anticipated adversary courses of action.

stand-off collection. Collection of biometrics from a distance.

U.S. person. Defined in Reference (e).